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Art Unit: 2823 Fax: (571) 273-8300

From: Winston Hsu, Registration No. 41,526

Serial No.: 10/711,414

Attorney Docket No.: NAUP0594USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 6 pages (including cover page)

Winston Hsu 2006/02/23

NAUP0594USA0_D1_1

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PTQ/\$B/21 (09-04)

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TRANSMITTAL		Application Number	10/711,414				
		Filing Date	09/17/2	09/17/2004			
		First Named Inventor	Yun-Re	Yun-Ren Wang			
		Art Unit	2823				
(to be used for all correspondence after initial filing)		Examiner Name	BREWSTER, WILLIAM M				
Total Number of Pages in This Submission 5		5 Attorney Docket Number	NAUPO	NAUP0594USA			
ENCLOSURES (Check all that apply)							
Extension of Time Request Express Abandonment Request Information Disclosure Statement		Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s)	Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD		After Allowance Communication to TO Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):		
Under 37	CFR 1.52 or 1.53						
	SIGNATU	RE OF APPLICANT, ATTO	RNEY, O	RAGENT			
Firm Name North	America Intellectu	al Property Corporation		,			
Signature Colonia botom.							
Printed name Winston Hsu							
Dato 2/23/2006			Reg. No. 41,526				
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	CER	TIFICATE OF TRANSMISSI	ON/MAIL	.ING			
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Signature		dy din					
Typed or printed name Cindy Lin		d		Date	2/23/2006		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/S9/17 (12-04v2) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Ettective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/711,414 **Application Number** FEE TRANSMITTAL 09/17/2004 Filing Date For FY 2005 Yun-Ren Wang First Named Inventor BREWSTER, WILLIAM M Examiner Name Applicant claims small entity status. See 37 CFR 1.27 2823 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 0.00 NAUP0594USA Attorney Docket No. METHOD OF PAYMENT (check all that apply) Credit Card Other (please identify): Check None Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corporation For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity Small Entity Small Entity Application Type Fees Paid (\$) Fee (\$) Fee (\$) Fco (8) Fee (\$) Foo (\$) Utility 300 150 500 200 250 100 200 Design 100 100 130 50 200 Plant 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 O Small Entity 2. EXCESS CLAIM FEES Fee (\$) <u>Fee (\$)</u> Foo Description 25 Each claim over 20 (including Reissues) 50 Each independent claim over 3 (including Reissucs) 200 100 360 180 Multiple dependent claims Total Claims Multiple Depandent Claims Extra Claims Fee (\$) Fee Paid (\$) - 20 or HP = Fee Paid (\$) Fee (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1 16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee Paid (\$1 (round up to a whole number) - 100 == 4. OTHER FEE(S) Foos Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge); submission of Information Disclosure Statement 0.00 SUBMITTED BY Registration No. Caloumy tops blame Telephone Signature 3027291562 41,526 (Attorney/Agent) Name (Print/Type)| Winston Hsu Date 2/23/2006

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PTO/SS/08A (07-05)

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Substitute for form 1449/PTO	Complete if Known				
	Application Number	10/711,414	· • • • • • • • • • • • • • • • • • • •		
INFORMATION DIOOLOGUEE	Filing Date	09/17/2004			
INFORMATION DISCLOSURE	First Named Inventor	Yun-Ren Wang			
STATEMENT BY APPLICANT	Art Unit	2823			
(Use as many sheets as necessary)	Examiner Name	BREWSTER, WILLIAM M			
Sheet I of I	Attorney Docket Number	NAUP0594USA			

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Examiner Cite initials* No.1		Document Number	Publication Date MM-DD-YYYY	Name of Patentus or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ^{2 (F Kranser)}			Figure Appear	
	1	US- 2002/0081811 AT	06-27-2002	Foglietti, Pictro; et al.		
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	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code "Number 1" Kind Code" (# Ampwri)	MM-UD-YYYY		Or Relevant Figures Appear	1
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English tenguage Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademærk Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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#### PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yun-Ren Wang et al.

Appl. No.: 10/711,414 Filing Date: 09/17/2004

Examiner: BREWSTER, WILLIAM M Art Unit: 2823

Docket No.: NAUPO594USA Confirmation No.: 5413

Title: PROCESS FOR DEPOSITING BTBAS-BASED SILICON NITRIDE

FILMS

To: Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

Subject: Information disclosure statement under 37 CFR \$1.56

Dear Sir,

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR \$1.56. The applicant wishes to make of record the document(s) listed on the accompanying form PTO/SB/08.

Since this IDS is filed before the mailing date of the first Office action, consideration of the information disclosure statement is hereby requested according to 37 CFR \$1.97(b).

That each item of information contained in the information disclosure statement was first cited in an Office

communication mailed on <u>February 06, 2006</u> for the counterpart Taiwan patent application number <u>093133249</u>, which are no more than three months prior to the filing of the information disclosure statement.

It is respectfully requested that the examiner can consider the document(s) listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited reference(s) on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

Respectfully submitted,

Weinton bar

Date: February 23, 2006

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562 Facsimile: 806-498-6673

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